

U.S. Election Assistance Commission

## U.S. ELECTION ASSISTANCE COMMISSION



## 2006 ELECTION ADMINISTRATION AND VOTING SURVEY

## SECTION ONE: VOTER REGISTRATION

Note: Questions 1-31 refer to the period from the close of registration for the November 2, 2004, Federal general elections to the close of registration for the November 7, 2006, Federal general elections.

## DEFINITIONS:

- **Active voters:** refers to all registered voters except those who have been sent but have not responded to a confirmation mailing sent in accordance with NVRA (42 U.S.C. 1973gg-6(d)) and have not since offered to vote.
- **Inactive voters:** refers to registrants who have been sent but have not responded to a confirmation mailing sent in accordance with NVRA (42 U.S.C. 1973gg-6(d)) and have not since offered to vote.
- **List maintenance:** refers to the specific process and procedures by which State and/or local election officials update and preserve information contained on the official list of registered voters.
- **Duplicate registration application:** refers to an application to register by a person already registered to vote at the same address, under the same name and personal information (i.e. date of birth, social security number, driver's license, etc.), and the same political party (where applicable).

## QUESTIONS:

1. NAME OF COUNTY Santa Cruz
- COUNTY CONTACT PERSON: Gail L. Pellerin
- EMAIL ADDRESS: gail.pellerin@co.santa-cruz.ca.us
- TELEPHONE NUMBER: 831-454-2419

2. Total number of registered voters statewide and by county/local jurisdiction at the time of the close of registration for the past two Federal general elections (including Election Day registrations where applicable - see Question 3):

November 2, 2004:

Active Voters:

148,185☐ Don't know☐ Check if your office does not collect this data

Inactive Voters:

☐ Don't know☒ Check if your office does not collect this data

November 7, 2006:

Active Voters:

142,428☐ Don't know☐ Check if your office does not collect this data

Inactive Voters:

☐ Don't know☒ Check if your office does not collect this data

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## Comments:

3. Total number of persons statewide and by county/local jurisdiction who registered to vote on Election Day [November 7, 2006] - *\*\*Only applicable to states with Election Day registration (i.e. Idaho, Maine, Minnesota, Montana, New Hampshire, Wisconsin, and Wyoming).*

Total: N/A ☐ Don't know ☐ Check if your office does not collect this data

## Comments:

4. Total number statewide and by county/local jurisdiction of voter registration applications received from all sources during the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: 410,258 ☐ Don't know ☐ Check if your office does not collect this data

## Comments:

5. Total number statewide and by county/local jurisdiction of voter registration applications received by mail during the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: 21485 ☐ Don't know ☐ Check if your office does not collect this data

## Comments:

6. Total number statewide and by county/local jurisdiction of voter registration applications received in person at the clerk or registrar's office during the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: 18,773 ☐ Don't know ☐ Check if your office does not collect this data

## Comments:

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7. Total number statewide and by county/local jurisdiction of voter registration applications that were received from or generated by each of the following categories between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

a) All motor vehicle offices: 196

☐ Don't know

☐ Check if your office does not collect this data

b) All public assistance agencies mandated as registration sites under NVRA: 55

☐ Don't know

☐ Check if your office does not collect this data

c) All state-funded agencies primarily serving persons with disabilities: \_\_\_\_\_

☐ Don't know

☒ Check if your office does not collect this data

d) All Armed Forces recruitment offices: 2

☐ Don't know

☐ Check if your office does not collect this data

e) All other agencies designated by the State and not required under NVRA: \_\_\_\_\_

☒ Don't know

☐ Check if your office does not collect this data

*none that we are aware of*

## Comments:

*We do not track applications generated by agencies.*

*We are also upgrading our tracking processes for affidavits.*

8. Total number statewide and by county/local jurisdiction of voter registration applications identified in response to Question 7 that were:

a) Duplicates of other valid voter registrations: \_\_\_\_\_

☐ Don't know

☒ Check if your office does not collect this data

b) Changes of address, name, or party: \_\_\_\_\_

☐ Don't know

☒ Check if your office does not collect this data

c) Invalid or rejected (other than duplicates): \_\_\_\_\_

☐ Don't know

☒ Check if your office does not collect this data

## Comments:



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9. Total number statewide and by county/local jurisdiction of new, valid registrations verified and processed between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections. *\*\*This includes all registrations that are new to the local jurisdiction and re-registrations due to a change of address across jurisdictional lines but within the state. This does not include applications that are duplicates, rejected, or report only a change of name, address, or (where applicable) party preference within the local jurisdiction.*

Total: \_\_\_\_\_ ☐ Don't know ☒ Check if your office does not collect this data

## Comments:

We do not differentiate between new & re-registrations.

10. Total number of election jurisdictions conducting voter registration:

Total: 22 ☐ Don't know ☐ Check if your office does not collect this data

## Comments:

11. Identify the local entity primarily responsible for registering voters: (In some cases, more than one choice may apply. If so, mark all of the appropriate local entities that share primary responsibility for registering voters)

- |  |   |
|--|---|
| <input type="checkbox"/> Circuit Clerk                   | <input type="checkbox"/> Election Commissioner            |
| <input type="checkbox"/> City Clerk                      | <input type="checkbox"/> Local General Registrar          |
| <input type="checkbox"/> County Auditor                  | <input type="checkbox"/> Municipal Clerk                  |
| <input type="checkbox"/> County Board of Elections       | <input type="checkbox"/> Recorder                         |
| <input checked="" type="checkbox"/> County Clerk         | <input type="checkbox"/> Registrar                        |
| <input type="checkbox"/> County Commissioner             | <input type="checkbox"/> Supervisor/Director of Elections |
| <input type="checkbox"/> County Election Board Secretary | <input type="checkbox"/> Tax Assessor                     |
| <input type="checkbox"/> County Voter Registration Board | <input type="checkbox"/> Tax Collector                    |
| <input type="checkbox"/> Director of Voter Registration  | <input type="checkbox"/> Town Clerk                       |

☐ Other (please, specify) \_\_\_\_\_

## Comments:

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12. Identify each and every other state and local government office or agency designated as a voter registration agency (provides voter registration opportunities/services):

- ☒ Motor vehicle offices
- ☒ All offices that provide public assistance that are mandated as registration sites by NVRA
- ☐ All offices that provide state-funded programs primarily serving persons with disabilities that are mandated as registration sites by NVRA
- ☐ All Armed Forces recruitment offices that are mandated as registration sites by NVRA
- ☐ Other agencies designated by the State as registration sites, and which are not mandated as registration sites by NVRA.

► Please, provide the names of the agencies designated by the State as registration sites, and which are not mandated as registration sites by NVRA.

## Comments:

13. Does your office provide training on the voter registration process to employees of Federal, State, and local government offices or agencies designated as voter registration agencies?

☐ Yes, our office provides training on the voter registration process to ALL Federal, State, and local government offices or agencies designated as voter registration agencies.

► If yes, how frequently does your office provide training the above training?

☐ Monthly ☐ Quarterly ☐ Biannual ☐ Annual ☐ Biennial

☐ Other (please, specify) \_\_\_\_\_

☐ Yes, our office provides training on the voter registration process to SOME, BUT NOT ALL, Federal, State, and local government offices or agencies designated as voter registration agencies.

► If yes, how frequently does your office provide training the above training?

☐ Monthly ☐ Quarterly ☐ Biannual ☐ Annual ☐ Biennial

☐ Other (please, specify) \_\_\_\_\_

☒ No, our office provides no such training.

## Comments:

We train anyone who picks up cards & will provide additional trainings upon request.

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14. How are voter registration applications transferred from the other voter registration agencies listed in response to Question 12 to the official responsible for voter registration (see Question 11)? Please, select all that apply.

- ☐ Courier  
☐ Fax  
☐ Hand-delivered  
☒ Inter-office mail  
☒ U.S. mail  
☐ Electronic (If electronic, then select the appropriate media below.)
- ☐ Power Profile System    ☐ Tape    ☐ Disk, CD, or other portable storage media  
☐ VPN    ☐ Other electronic media (please, specify) \_\_\_\_\_
- ☐ Other (please, specify) \_\_\_\_\_

Comments:

15. Who verifies and processes voter registration forms?

- ☐ Only State officials  
☒ Only local officials  
☐ Both State and local officials

Comments:

16. Which number is used as the voter identification number on the processed voter registration form? (This does not refer to the number used to verify the application. This refers to the number given to the voter once they have been verified and entered into the voter database.) Please, select all that apply.

- ☐ Last 4-digits of the Social Security number  
☐ Full Social Security number  
☐ Driver's license number  
☒ Unique identifier (please, identify what method is used for assigning the unique identifier)
- ☐ Other (please, specify)

Comments:

SOS generates.



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17. How do the voter registration officials identified in Question 11 verify voter registration applications? (This refers to the process of verifying the applications used to register to vote. This does not refer to the process of verifying voter identity when they go to vote.) Please, select all that apply.

- ☐ Check jury lists  
☒ Verify through the department of motor vehicles  
☒ Verify through the Social Security Administration's records  
☒ Verify through the State's vital statistics records  
☐ Verify through other state agency (please, specify agency)  
☒ Matched against the voter registration database  
☒ Tracking of returned voter identification cards  
☐ Tracking the return of disposition notices  
☒ Other (please, specify)

Felony convictions list  
 Comments:

SOS verifies against state/federal records.

We verify against local records.

18. What data fields are compared (used as matching criteria) to identify duplicate registrations? Please, select all that apply.

- ☒ Address  
☒ Date of birth  
☐ Driver's license number  
☒ Names provided by registrant  
☒ Social Security number  
☒ Other (please, specify)

Place of birth + soundex w/ names (i.e. Robert + Bob or Rosalva + Rosalba, etc)  
 Comments:

SOS sets + applies criteria on state level checks.

Locally, we use all of the above.

19. Does your State electronically check for duplicate voter registrations across state lines?

- ☐ Yes (If "yes," please, identify which states)  
☒ No

Comments:

20. Are all applicants whose applications are rejected notified of the rejection and the reason for the rejection?

- ☒ Yes  
☐ No

Comments:

by letter.

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21. How does the statewide voter registration database link to the State's department of motor vehicles?

- ☐ Real-time  
☐ Specific time intervals (please, specify)  
☐ Other (please, specify)

Comments:

SOS question

22. Does the statewide voter registration database link to disability and social services agencies in a similar manner to the State's department of motor vehicles?

- ☐ Yes  
☐ No (If "no," please, specify other method)

Comments:

SOS question

23. What process is used to perform list maintenance?

- ☐ Only electronically  
☐ Only manually  
☒ Both electronically and manually

Comments:

24. Who is responsible for conducting list maintenance?

- ☐ Only State officials  
☐ Only local officials  
☒ Both State and local officials (If "both," please, specify the roles and responsibilities of each)

State: DMV + SS. Local: the remainder including deaths, felony convictions, inter-county moves, voter request, etc.

Comments:

25. Total number of registrations statewide and by county/local jurisdiction that were, for whatever reason, deleted from the registration list, including both active and inactive voters if such a distinction is made in your state, between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: \_\_\_\_\_ ☐ Don't know ☒ Check if your office does not collect this data

Comments:



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26. Total number statewide and by county/local jurisdiction of removal notices [NVRA Section 8 (d)(2) confirmation] mailed to voters between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: 6952 ☐ Don't know ☐ Check if your office does not collect this data

Comments:

27. Total number statewide and by county/local jurisdiction of responses received to the confirmation notices mailed out between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: 3655 ☐ Don't know ☐ Check if your office does not collect this data

Comments:

28. Total number statewide and by county/local jurisdiction of voters moved to the inactive list between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: \_\_\_\_\_ ☐ Don't know ☒ Check if your office does not collect this data

Comments:

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29. Total number statewide and by county/local jurisdiction of voters (active AND inactive voters) removed from the voter rolls between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections for the following reasons:

a) Change of address (moved outside jurisdiction): \_\_\_\_\_  
☐ Don't know ☒ Check if your office does not collect this data

b) Death: \_\_\_\_\_  
☐ Don't know ☒ Check if your office does not collect this data

c) Disqualifying felony convictions: \_\_\_\_\_  
☐ Don't know ☒ Check if your office does not collect this data

d) Failure to vote in two consecutive Federal general elections: \_\_\_\_\_  
☐ Don't know ☒ Check if your office does not collect this data

e) Voter requested to be removed: \_\_\_\_\_  
☐ Don't know ☒ Check if your office does not collect this data

f) Other reasons (please, specify): \_\_\_\_\_  
☐ Don't know ☒ Check if your office does not collect this data

Comments:

30. Identify all of the sources considered in performing list maintenance:

- ☒ Applications for absentee ballots
- ☒ Ballots returned as undeliverable
- ☐ Canvasses, house-to-house
- ☒ Canvasses, political parties
- ☐ Car registrations
- ☒ Contact by phone
- ☒ Contact in person
- ☒ Jury questionnaires
- ☒ List of address changes, Emergency 911 (E-911) system
- ☒ List of deceased persons, Social Security Administration
- ☒ Lists of felony convictions, Federal and state courts
- ☐ Lists of persons licensed in other states, Department of Motor Vehicles
- ☐ Lists of property ownership
- ☒ Newspaper death notices/obituaries
- ☒ Notices of address confirmations
- ☒ Notices of deceased persons (Department of Health/Bureau of Vital Statistics)
- ☒ Notices of persons adjudicated mentally incapacitated
- ☒ Petition checks
- ☒ Reports/Notices from other States that a former resident has registered to vote
- ☒ Reports of address changes U.S. Postal Service National Change of Address

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- ☐ Reports of surrendered driver's licenses - other states' motor vehicles offices  
☒ Returned election notices  
☐ Returned jury summons  
☐ Returned mail from county agencies using official voter file for mailings  
☒ Requests from voters for removal  
☐ Targeted mailings  
☐ Tax offices  
☐ Utility changes, municipal  
☒ Voter registration applications  
☒ Voter registration system - duplicate checks  
☐ Other (please, specify)

Comments:

## 31. Are the following classes of persons eligible to vote?

## a) Those who have been convicted of a felony

- ☐ Yes  
☐ No

per CCR0V 06403

If "no," are they eligible to register or reregister upon pardon, issuance of certificate of eligibility, or restoration of their Civil Rights?

- ☐ Yes ☐ No

## b) Those who are serving a sentence of incarceration for conviction of a felony

- ☐ Yes  
☐ No

per CCR0V 06403

If "no," are they eligible to register or reregister upon completion of their sentence of incarceration for conviction of a felony?

- ☐ Yes ☐ No

## c) Those who are serving a term of probation following being convicted of a felony

- ☒ Yes  
☐ No

per CCR0V 06403

If "no," are they eligible to register or reregister upon completion of their term of probation following being convicted of a felony?

- ☐ Yes ☐ No

Comments:



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## SECTION TWO: NOVEMBER 7, 2006, ELECTION RESULTS

### DEFINITIONS for Questions 32-42:

- **"At the polls:"** refers to ballots issued, cast, or counted on a jurisdiction's voting system on Election Day at a polling place (separate from early and in-person absentee voting at the polls prior to Election Day).
- **Ballots cast:** refers to ballots that have been submitted manually or electronically by a voter regardless of whether they are ultimately counted. *Note:* For jurisdictions that provide voters with more than one ballot card to vote for different contests or measures should only report one ballot cast per voter.
- **Ballots counted:** refers to all ballots that have been cast, processed, and counted.
- **Domestic civilian absentee ballot:** refers to a ballot available to a non-military citizen living in the United States who is registered to vote and meets the State's requirement for voting absentee, and is not considered early voting by state definitions. Generally, a voter must request an absentee ballot from their local election office, and the completed ballot may be sent back by mail or dropped off in person (in-person absentee) depending on the laws and regulations of the voter's State of residence.
- **Domestic military citizen** is statutorily defined as:
  - A. A member of a uniformed service on active duty who, by reason of such active duty, is stationed or positioned within the United States or its territories, and who is absent from the place of residence where the member is otherwise qualified to vote;
  - B. A member of the merchant marine who, by reason of service in the merchant marine, is serving within the United States and its territories, and who is absent from the place of residence where the member is otherwise qualified to vote; and
  - C. A spouse or dependent of a member referred to in subparagraph (A) or (B) who, by reason of the active duty or service of the member, is absent from the place of residence where the spouse or dependent is otherwise qualified to vote.
- **Early voting** refers generally to any in-person voting that occurred prior to November 7, 2006, at specific polling locations for which there were no special eligibility requirements, and is not considered absentee voting under the State's definitions/requirements for absentee voting.
- **Federal Write-In Absentee Ballot (FWAB)** is an emergency ballot available to military and overseas citizens (including APO and FPO addresses) when they have properly requested but have not received a regular absentee ballot from their local jurisdiction in time to return it before the deadline.
- **Overseas military citizen** is statutorily defined as:
  - A. A member of a uniformed service on active duty who, by reason of such active duty, is stationed or positioned outside of the United States and its territories, and who is thus absent from the place of residence where the member is otherwise qualified to vote;
  - B. A member of the merchant marine who, by reason of service in the merchant marine, is serving outside of the United States and its territories, and who is thus absent from the place of residence where the member is otherwise qualified to vote; and
  - C. A spouse or dependent of a member referred to in subparagraph (A) or (B) who, by reason of the active duty or service of the member, is absent from the place of residence where the spouse or dependent is otherwise qualified to vote.
- **Overseas citizens** refers to persons who are citizens of the United States who are living, working or stationed outside of the United States and its territories and who are not members of a uniformed service.

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- **Provisional ballot** refers to a ballot issued to a voter at the polling place when their eligibility to vote has not been determined.

## QUESTIONS:

32. Did your <sup>locally</sup> ~~State~~ conduct early voting for the November 7, 2006, Federal general elections?

☒ Yes  
☒ No

Comments:

33. Total number statewide and by county/local jurisdiction, for the November 7, 2006, Federal general elections of **BALLOTS CAST**:

At the polls:	<u>50189</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Early voting:	<u>0</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Domestic civilian absentee ballot:	<u>41449</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Domestic military:*	<u>26</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Overseas military:*	<u>17</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Overseas citizens:*	<u>292</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
FWAB:	<u>3</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Provisional ballots:*	<u>3220</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data

\*The number provided in response to this question should include the total number of ballots cast in the State's program for contingent or provisional ballots that comply with Section 302(a) of the Help America Vote Act of 2002 (HAVA).

\*UOCAVA voters: If you are not able to separate ballots cast for UOCAVA voters into the categories above, please, provide the combined total statewide and by county/local jurisdiction:

Total: \_\_\_\_\_ ☐ Don't know ☐ Check if your office does not collect this data

Comments:



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34. Total number statewide and by county/local jurisdiction, for the November 7, 2006, Federal general elections of **BALLOTS COUNTED**:

At the polls: 50189 ☐ Don't know ☐ Check if your office does not collect this data

Early voting: 0 ☐ Don't know ☐ Check if your office does not collect this data

Domestic civilian absentee ballot: 41462 ☐ Don't know ☐ Check if your office does not collect this data

Domestic military: \* See below ☐ Don't know ☐ Check if your office does not collect this data

Overseas military: \* See below ☐ Don't know ☐ Check if your office does not collect this data

Overseas citizens: \* See below ☐ Don't know ☐ Check if your office does not collect this data

FWAB: See below ☐ Don't know ☐ Check if your office does not collect this data

Provisional ballots: 2762 ☐ Don't know ☐ Check if your office does not collect this data

\*UOCAVA voters: If you are not able to separate ballots counted for UOCAVA voters into the categories above, please, provide the combined total statewide and by county/local jurisdiction:

Total: 325 ☐ Don't know ☐ Check if your office does not collect this data

Comments:

35. Total number statewide and by county/local jurisdiction of votes counted for each candidate in a Federal contest for the November 7, 2006, Federal general elections:

Total: \_\_\_\_\_ ☐ Don't know ☐ Check if your office does not collect this data

Comments: ~~Source~~

See www.votescount.com/nov04/frame11.htm

~~at~~ www.votescount.com/nov06/results.htm

36. Total number statewide and by county/local jurisdiction of provisional ballots **REJECTED** for the November 7, 2006, Federal general elections:

Total: 458 ☐ Don't know ☐ Check if your office does not collect this data

Comments:



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37. Total number statewide and by county/local jurisdiction of provisional ballots **REJECTED** for each of the following reasons for the November 7, 2006, Federal general elections:

Already voted:	<u>8</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Ballot not timely received ( <del>absentee</del> ):	<u>2</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Deceased:	<u>0</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Elector challenged:	<u>0</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Incomplete ballot form:	<u>19</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Ineligible to vote:	<u>0</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Missing ballot:	<u>8</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Multiple ballots in one envelope:	<u>0</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
No identification provided:	<u>0</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
No signature:	<u>          </u>	<input type="checkbox"/> Don't know	<input checked="" type="checkbox"/> Check if your office does not collect this data
Non-matching signature:	<u>12</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Not registered:	<u>403</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Registration purged:	<u>0</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Wrong jurisdiction:	<u>0</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Wrong precinct:	<u>0</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data
Other (please, specify):	<u>loose ballots = 6</u>	<input type="checkbox"/> Don't know	<input type="checkbox"/> Check if your office does not collect this data

Comments:

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38. Total number statewide and by county/local jurisdiction of absentee ballots REQUESTED (do not include FWAB) for the November 7, 2006, Federal general elections (includes ballots transmitted by mail, fax, e-mail, or courier):

Domestic civilian  
absentee ballot:

55,577

☐ Don't know ☐ Check if your office does not collect this data

Domestic military: \*

74

☐ Don't know ☐ Check if your office does not collect this data

Overseas military: \*

46

☐ Don't know ☐ Check if your office does not collect this data

Overseas citizens: \*

450

☐ Don't know ☐ Check if your office does not collect this data

\*UOCAVA voters: If you are not able to separate absentee ballots requested for UOCAVA voters into the categories above, please, provide the combined total statewide and by county/local jurisdiction:

Total: \_\_\_\_\_

☐ Don't know ☐ Check if your office does not collect this data

Comments:

39. Total number statewide and by county/local jurisdiction of advanced ballots TRANSMITTED to military and overseas citizens for the November 7, 2006 Federal general elections: (Advanced ballot means any special Write-In Absentee Ballot, State Write-In Absentee Ballot, Special Write-In Early Ballot, or Blank Absentee Ballot that is distributed by a state in advance of the publication of an official ballot for a federal election on which military and overseas citizens are allowed to write in the name of the candidate in each contest for whom they choose to vote.)

Domestic military: \*

0

☐ Don't know ☐ Check if your office does not collect this data

Overseas military: \*

0

☐ Don't know ☐ Check if your office does not collect this data

Overseas citizens: \*

0

☐ Don't know ☐ Check if your office does not collect this data

\*UOCAVA voters: If you are not able to separate advance ballots transmitted for UOCAVA voters into the categories above, please, provide the combined total statewide and by county/local jurisdiction:

Total: \_\_\_\_\_

☐ Don't know ☐ Check if your office does not collect this data

Comments:

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40. Total number statewide and by county/local jurisdiction of Federal Write-In Absentee Ballots (FWAB) RECEIVED from each of the following categories of voters for the November 7, 2006, Federal general elections:

Domestic military:                      ☒ Don't know ☐ Check if your office does not collect this data

Overseas military:                      ☒ Don't know ☐ Check if your office does not collect this data

Overseas citizens:                      ☒ Don't know ☐ Check if your office does not collect this data

\*UOCAVA voters: If you are not able to separate the FWAB received for UOCAVA voters into the categories above, please, provide the combined total statewide and by county/local jurisdiction:

Total:           3           ☐ Don't know ☐ Check if your office does not collect this data

## Comments:

41. Total number statewide and by county/local jurisdiction of domestic civilian absentee ballots REJECTED for each of the following reasons for the November 7, 2006, Federal general elections:

Ballot missing from envelope:           4           ☐ Don't know ☐ Check if your office does not collect this data

Ballot not timely received:           218           ☐ Don't know ☐ Check if your office does not collect this data

Ballot replaced:           0           ☐ Don't know ☐ Check if your office does not collect this data

Ballot returned as undeliverable:           1782           ☐ Don't know ☐ Check if your office does not collect this data

Ballot returned in unofficial envelope:           0           ☐ Don't know ☐ Check if your office does not collect this data

Voter deceased:           0           ☐ Don't know ☐ Check if your office does not collect this data

Already voted in person:           0           ☐ Don't know ☐ Check if your office does not collect this data

Envelope not sealed:           0           ☐ Don't know ☐ Check if your office does not collect this data

First time voter without proper identification:           0           ☐ Don't know ☐ Check if your office does not collect this data

Ineligible to vote:           0           ☐ Don't know ☐ Check if your office does not collect this data



## U.S. Election Assistance Commission

Multiple ballots returned  
in one envelope: 0☐ Don't know ☐ Check if your office does not collect this dataNo ballot application  
on record: 0☐ Don't know ☐ Check if your office does not collect this dataNo election official's  
signature on ballot: 0☐ Don't know ☐ Check if your office does not collect this dataNo residence address  
on envelope: 0☐ Don't know ☐ Check if your office does not collect this dataNo voter signature: 26☐ Don't know ☐ Check if your office does not collect this dataNo witness signature: 0☐ Don't know ☐ Check if your office does not collect this dataNon-matching  
signature: \_\_\_\_\_☒ Don't know ☐ Check if your office does not collect this dataSpoiled ballot: 0☐ Don't know ☐ Check if your office does not collect this data

Other

(please, specify): 1 faxed w/in U.S.5 incorrect voter☐ Don't know ☐ Check if your office does not collect this data

Comments:

42. Total number statewide and by county/local jurisdiction of military and overseas absentee ballots  
**REJECTED** for each of the following reasons for the November 7, 2006, Federal general elections:Had no date of notary/  
witness signature: 0☐ Don't know ☐ Check if your office does not collect this dataHad no date of  
voter signature: 0☐ Don't know ☐ Check if your office does not collect this dataLacked a postmark: 0☐ Don't know ☐ Check if your office does not collect this dataNo voter signature: 0☐ Don't know ☐ Check if your office does not collect this dataReturned as  
undeliverable: 33☐ Don't know ☐ Check if your office does not collect this dataVoter signature not  
verifiable: 0☐ Don't know ☐ Check if your office does not collect this data

## U.S. Election Assistance Commission

Was received after  
the state deadline:10☐ Don't know☐ Check if your office does not collect this dataOther  
(please, specify):☐ Don't know☐ Check if your office does not collect this data

Comments:

## DEFINITIONS FOR Questions 43-44:

- An **UNDERVOTE** occurs at any time when a voter makes less than that allowed number of selections in a single race/contest or when a voter votes on less than all of the races/contests for which he/she is eligible to vote.
- An **OVERVOTE** occurs when a voter makes more than the permitted number of selections in a single race/contest or when a voter makes a selection in a race/contest on which he/she was not eligible to vote.

## QUESTIONS:

43. Total number statewide and by county/local jurisdiction of undervotes reported in each Federal contest for the November 7, 2006, Federal general elections:Total: 1197☐ Don't know☐ Check if your office does not collect this data

Comments:

Senate 513

14<sup>th</sup> con 25317<sup>th</sup> con 43144. Total number statewide and by county/local jurisdiction of overvotes reported in each Federal contest for the November 7, 2006, Federal general elections:Total: 14☐ Don't know☐ Check if your office does not collect this data

Comments:

Senate 7

14<sup>th</sup> con 317<sup>th</sup> con 4

## U.S. Election Assistance Commission

## DEFINITIONS FOR Questions 45-58:

- Precinct means the geographic area to which voters are assigned.
- Polling place means the physical structure where residents of a precinct go to cast their votes on Election Day. A polling place includes any structure that houses one or more precincts.

*Note: The answer to questions regarding poll workers should include the number of persons who served in all polling places in the State as poll workers, election judges, wardens, commissioners, or other similar term that refers to the person or persons who verify the identity of a voter; assist the voter with signing the register, affidavits or other documents required to cast a ballot; assist the voter by providing the voter with a ballot or setting up the voting machine for the voter; and serving other functions as dictated by state law. The answers to these questions should not include observers stationed at the polling place.*

## QUESTIONS:

45. Total number of poll workers required by law or regulation to be present at each polling place/precinct:

Total: 3 ☐ Don't know ☐ Check if your office does not collect this data

Comments:

46. Total number statewide and by county/local jurisdiction of poll workers that served in the November 7, 2006, Federal general elections:

Total: 911 ☐ Don't know ☐ Check if your office does not collect this data

Comments:

47. Total number statewide and by county/local jurisdiction of precincts that did not have the required number of poll workers in the November 7, 2006, Federal general elections:

Total: 0 ☐ Don't know ☐ Check if your office does not collect this data

Comments:



## U.S. Election Assistance Commission

48. Identify what constitutes a local election jurisdiction in your State (select all that apply):

- ☐ Borough  
☒ City  
☒ County  
☐ Parish  
☐ Township  
☐ Village  
☒ Other (please, specify)

Comments:

special districts such as school

49. Total number of local election jurisdictions in your State:

County

Total:

55

☐ Don't know☐ Check if your office does not collect this data

Comments:

50. Total number statewide and by county/local jurisdiction of precincts for the November 7, 2006, Federal general elections:

Total:

317

☐ Don't know☐ Check if your office does not collect this data

Comments:

170 voting precincts

147 mail ballot

51. Total number statewide and by county/local jurisdiction of polling places for the November 7, 2006, Federal general elections:

Total:

116

☐ Don't know☐ Check if your office does not collect this data

Comments:

52. Total number statewide and by county/local jurisdiction of polling places that are accessible to voters with disabilities for the November 7, 2006, Federal general elections: (For purposes of this question only, accessibility refers to the physical structure of the polling place, not the voting system.)

Total:

109

☐ Don't know☐ Check if your office does not collect this data

Comments:

## U.S. Election Assistance Commission

53. Total number statewide and by county/local jurisdiction of polling places where voters with disabilities can cast a private ballot for the November 7, 2006, Federal general elections: (Identify the total number of polling places where voting equipment is used such that a visually disabled voter can cast a private ballot (e.g., a DRE with audio ballot capability or paper ballots in Braille))

Total: 100% ☐ Don't know ☐ Check if your office does not collect this data

Comments:

109 see question 52

54. Total number of local election jurisdictions that provided information for purposes of responding to this survey:

Total: 1 ☐ Don't know ☐ Check if your office does not collect this data

Comments:

55. Please, provide a list of the types of voting equipment used in each county during the November 7, 2006, Federal general elections. Please, provide the following for each county:

- a) Name of county Santa Cruz County  
 b) Type of voting system(s) Sequoia Optech / AVC Edge II Touchscreen  
 c) Manufacturer Sequoia  
 d) Software version (if applicable)

Win EDS 3.01.012 Edge II S.O. 24

Comments: 400-C w/ Win ETP 1.12.4 Insight 2.10

56. Please, provide the following for each local election jurisdiction official that provided information for purposes of responding to this survey:

- a) Name Gail L. Pellerin  
 b) Title County Clerk  
 c) Agency/Office Santa Cruz County Clerk / Elections Department  
 d) Street address 701 Ocean St rm 210  
 e) P.O. Box number —  
 f) City Santa Cruz, CA  
 g) State CA  
 h) Zip code 95060  
 i) Telephone number 831-454-2419  
 j) General e-mail address (if available) gail.pellerin@co.santa-cruz.ca.us

Comments:

## U.S. Election Assistance Commission

57. Identify any other sources of information used to respond to this survey other than those provided in response to the two previous questions. (All other sources of data shall include information obtained from a statewide voter registration database or any other public or non-public source). For individuals and agencies, please, include the following:

- ☐ Statewide voter registration database  
☐ Other public and non-public sources – please, include the following:
- a) Name of contact person
  - b) Title
  - c) Agency/Office
  - d) Street address
  - e) P.O. Box number
  - f) City
  - g) State
  - h) Zip code
  - i) Telephone number
  - j) General e-mail address (if available)

Comments:

none

58. Please, provide a list of the local individuals/entities responsible for registering voters (see Question 11) and those administering elections; include their name/entity, title, complete mailing address, telephone number, and general e-mail address (if available). In some cases, these two activities are carried out by one individual/entity and in others they are divided between two or more; please, identify which individual is responsible for each of the activities.

- a) Name
- b) Title
- c) Agency/Office
- d) Role (☐ voter registration, ☐ election administration, or ☒ both)
- e) Street address
- f) P.O. Box number
- g) City
- h) State
- i) Zip code
- j) Telephone number
- k) General e-mail address (if available)

see question #56

Comments: